Patents: Fundamental Considerations and Current Topics

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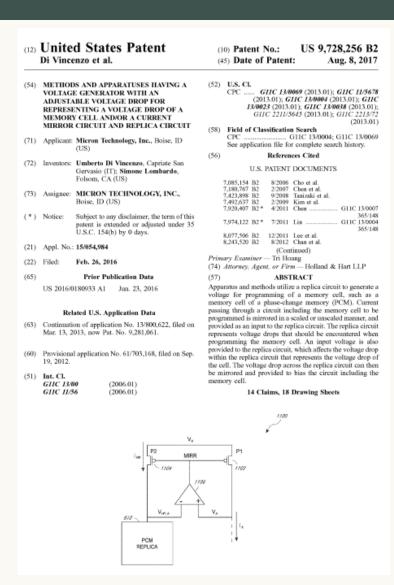
Patents

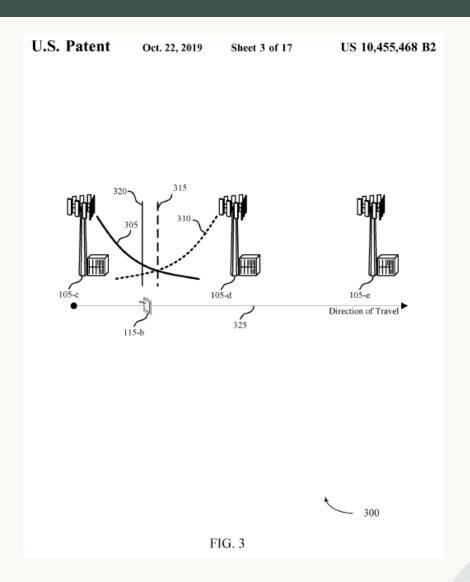
FUNDAMENTAL CONSIDERATIONS

"Congress shall have power . . . To promote the progress of science and useful arts, by securing for limited times to authors and inventors the exclusive right to their respective writings and discoveries."

U.S. Constitution, Art. I, § 8, Clause 8

Types: Utility, Design, Plant







US 10,455,468 B2

speed. Thus, if the UE 115-b is moving relatively slowly, measurements may continue to be made according to the first interval. As the UE 115-b continues along direction of travel 325 between base station 105-d and base station 105-e, the process may be repeated.

In some examples, a serving cell signal strength (e.g., RSRP) threshold may be identified, based on which the UE 115-b may change the way it performs measurements. In some examples, the change in the measurement interval may the DRX cycle of UE 115-b. In further examples, one or more RLM parameters may be adjusted. If a measured signal strength (e.g., RSRP) is above the threshold, the UE 115-b can use the first interval or configured DRX cycle. If the 115-b starts to perform measurement more frequently or may switch to a shorter DRX cycle, which would increase the measurement frequency and also provide more opportunities to communicate with the network (e.g., receive a HO change one or more RLM parameters (e.g., to shorten the serving cell evaluation period) based on such signaling to speed up connection re-establishment.

The parameter threshold may be established based on the example, it may be known that a high speed rail line is associated with base stations 105-c, 105-d, and 105-e, and it may also be determined that switching time intervals for measurements at a particular threshold may enhance likeliexamples, the threshold may be configured for particular deployment conditions. In some examples, UE 115-b may be configured to switch DRX cycles, and may inform serving base station 105-c that is has switched DRX cycles, which according to the new DRX cycle. In some examples, UE 115-b may autonomously trigger more frequent measurements or change RLM parameters based on some RSRP thresholds or differences seen between consecutive meadetermined that the UE 115-b is moving relatively fast, so it triggers more frequent measurements). Base station 105-c may also signal to the UE 115-b that it is in a high speed deployment or the UE 115-b could determine high speed a certain amount of time, or speed estimation from position measurements, etc.).

FIG. 4 illustrates an example of a process flow 400 for mobility enhancements for high speed deployments in accordance with various aspects of the present disclosure. 50 may identify a first time interval for performing one or more Process flow 400 may include a UE 115-c and base station 105-f, which may be examples of a UE 115 and base station 105 described with reference to FIGS. 1-3.

The base station 105-f may identify measurement time intervals or DRX periodicity, as indicated at block 405. At 55 measurements, wherein the second time interval is shorter block 410, the base station 105-f may set a mobility parameter threshold. As discussed above, the mobility parameter threshold may be set to provide more frequent measurements after the threshold is reached in order to enhance the likelihood of a successful HO. In some examples, the 60 in a transceiver module. The transmitter 615 may include a mobility parameter threshold may be an RSRP value. The base station 105-f may signal mobility parameter threshold 415 to UE 115-c. In the event that the UE 115-c determined the mobility parameter threshold is met, the UE 115-c may transmit a threshold met signal 420. In examples where a 65 Wireless device 700 may be an example of aspects of a DRX periodicity is changed upon meeting the threshold, the wireless device 600 or a UE 115 described with reference to base station 105-f may set a second DRX periodicity. Based FIGS. 1-6. Wireless device 700 may include a receiver

on measurements made at the second time intervals, base station 105-f may initiate a HO procedure, as indicated at block 430.

FIG. 5 illustrates an example of a process flow 500 for 5 mobility enhancements for high speed deployments in accordance with various aspects of the present disclosure. Process flow 500 may include a UE 115-d and base station 105-g, which may be examples of a UE 115 and base station 105 described with reference to FIGS. 1-4.

be achieved indirectly through an adjustment of the length of 10 The base station 105-g may signal mobility parameter threshold 505 to UE 115-d. At block 510, the UE 115-d may identify time intervals for measuring parameters. The time intervals may include first time interval and a second time interval that may be shorter than the first time interval. At signal strength (e.g., RSRP) goes below the threshold the UE 15 block 515, the UE 115-d may determine that the mobility parameter is exceeded (e.g., a measured RSRP is less than the signaled threshold value). In some examples, the determination that the mobility parameter is exceeded may also include a determination that the UE 115-d is in a high speed command). The UE 115-b, as mentioned above, may also 20 environment (e.g., via signaling from base station 105-g, positioning information, numbers of cells visited in a time period, differences seen between consecutive parameter measurements, etc.). At block 520, the UE 115-d may change the time interval for measuring parameters, in order particular deployment of wireless network 300. For 25 to measure parameters more frequently. The UE 115-d may signal an indication of a changed interval 525 to base station 105-g. The UE 115-d may signal one or more measured parameters to the base station 105-g, which may initiate a HO based on the measured parameters. In some examples, hood of HO without a dropped connection. Thus, in some 30 the UE 115-d may adjust one or more RLM parameters based on the mobility parameter threshold being exceeded.

FIG. 6 shows a block diagram of a wireless device 600 configured for mobility enhancements for high speed deployments in accordance with various aspects of the may allow base station 105-c to transmit downlink signals 35 present disclosure. Wireless device 600 may be an example of aspects of a UE 115 described with reference to FIGS. 1-5. Wireless device 600 may include a receiver 605, a wireless communication management module 610, or a transmitter 615. Wireless device 600 may also include a surements (e.g., if the difference is very high it may be 40 processor. Each of these components may be in communication with each other.

The receiver 605 may receive information such as packets, user data, or control information associated with various information channels (e.g., control channels, data channels, through other techniques (e.g., a number of cells visited in 45 and information related to mobility enhancements for high speed deployments, etc.). Information may be passed on to the wireless communication management module 610, and to other components of wireless device 600.

The wireless communication management module 610 signal characteristic measurements, determine that a mobility parameter is outside of a mobility parameter threshold, and change, responsive to the determining, to a second time interval for performing the one or more signal characteristic than the first time interval.

The transmitter 615 may transmit signals received from other components of wireless device 600. In some examples. the transmitter 615 may be collocated with the receiver 605 single antenna, or it may include a plurality of antennas.

FIG. 7 shows a block diagram of a wireless device 700 for mobility enhancements for high speed deployments in accordance with various aspects of the present disclosure.

- 1. An apparatus, comprising:
- a feedback loop configured to receive an input clock signal and output a delayed clock signal, the feedback loop comprising;
 - a first delay component configured to introduce, into a forward path of the feedback loop, a first delay that is inversely proportional to a supply voltage; and
 - a second delay component configured to introduce, into the forward path of the feedback loop, a second delay that is proportional to the supply voltage.

Generally: shorter claims = better/broader

Patents – How?



- Phase 1 Prepare and file application
 - First to file wins actual "reduction to practice" not required
 - Once idea is publicly disclosed, 12mo window to file in the US
 - Toast in most foreign jurisdictions
 - Pre-disclosure, NDAs are your friend
 - Might file a provisional application (placeholder, 12mo to convert)
 - Pre-filing search?

Patents – How?

- Phase 2 Prosecution (Examination)
 - USPTO tries to reject you
 - You can amend the claims
 - But nothing else
 - often 2-4 rounds of rejection
 - often 2-4 years until allowance



- A patent provides a *negative* right:
 - Right to prevent anyone else from making, using, or selling the claimed invention.
 - Someone else might be able to block you, even if you have a patent
- There is no "Patent Police"
 - You have to enforce (can be very expensive)

Patents - Why?

- Protect core technology against knockoffs
- Deter/defend attacks
- Attract investment e.g., demonstrate legitimacy and ownership
- Marketing
- Leverage
- Licensing Revenue
 - Cross-Licensing Opportunities (especially for larger companies)
- Provide value for sale, merger, acquisition, and joint venture opportunities
- Create prior art (prevent others from getting patents that might block you)



Patents v. Trade Secrets

• Definition:

- Information that derives economic value because it is secret; and
- You take reasonable efforts to keep it secret

• Pros:

- Potentially unlimited duration
- No public disclosure of details
- "Free"

• Cons:

- Protects only against misappropriation
 - e.g., not against independent discovery, reverse engineering
- Risk of accidental disclosure
- Can be very difficult to enforce





Best Practices for In House Counsel

Educate teams about public disclosure risks

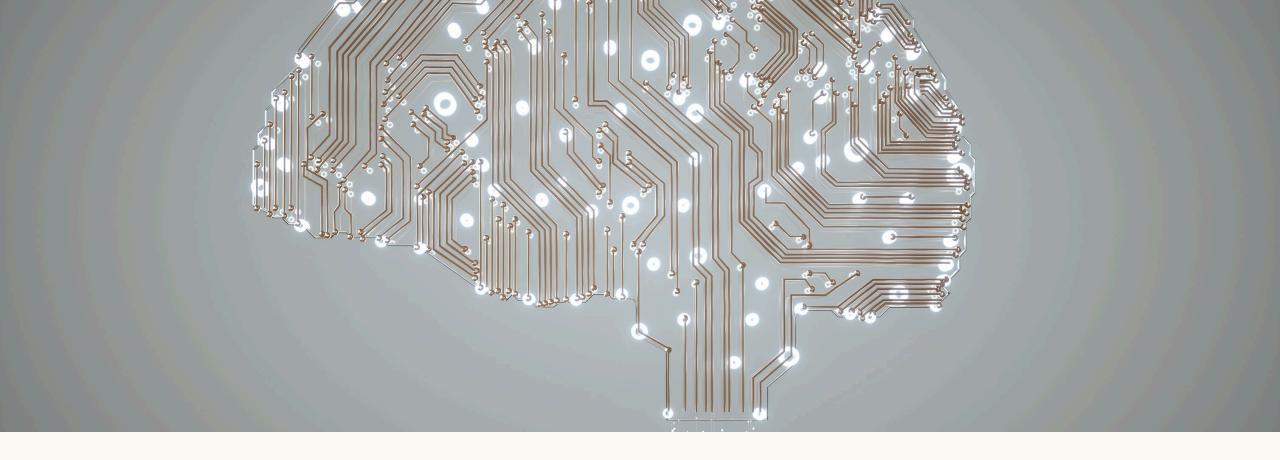
- Initiate early discussions with patent attorneys
 - Do not wait for product to be ready; protect early

Implement internal procedures for preserving trade secrets



Best Practices for In House Counsel

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Patents

CURRENT TOPICS



Patent Eligibility Restoration Act

• 35 U.S.C. § 101:

"Whoever invents or discovers any new and useful <u>process</u>, <u>machine</u>, <u>manufacture</u>, or <u>composition of matter</u>, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title."

- Judicially Created Exceptions:
 - natural phenomena
 - laws of nature
 - abstract ideas
 - mathematical processes, mental processes, methods of organizing human activity
- The judicial exceptions are often broadly and inconsistently applied
 - Especially "abstract idea"—often used to reject software





Patent Eligibility Restoration Act

PERA

- Introduced by Senators Thom Tillis (R-NC) and Chris Coon (D-DE) in June 2023
- Would eliminate judicial exceptions, replacing with only the following statutory exceptions:
 - mathematical formula that is not part of an invention
 - process that is substantially economic, financial, business, social, cultural, or artistic
 - process that (i) is a mental process performed solely in the human mind or (ii) occurs in nature wholly independent of, and prior to, any human activity
 - unmodified human gene, as that gene exists in the human body
 - unmodified natural material, as that material exists in the nature
- Intended/expected to greatly increase scope of patent-eligible subject matter





Unitary Patent System

Background: Patent Cooperation Treaty (PCT) countries

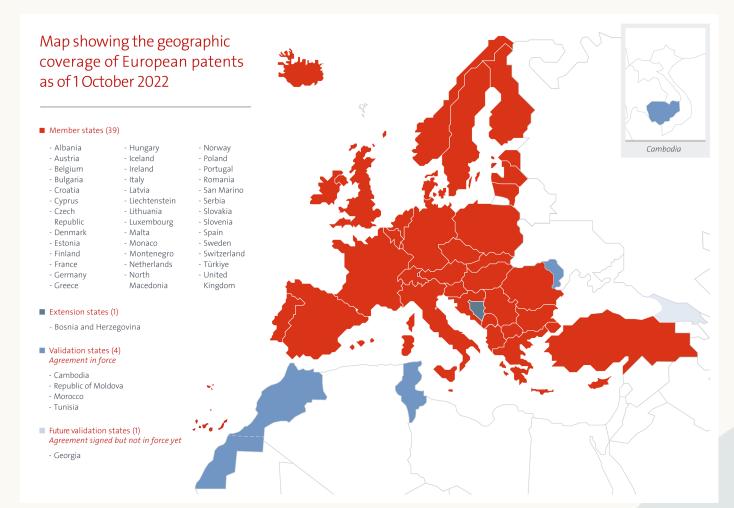






Unitary Patent System

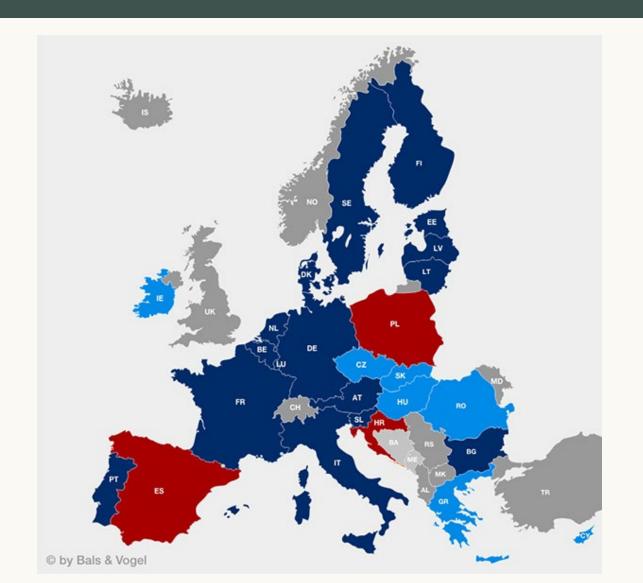
Background: European Patent Office (EPO) States







Unitary Patent System



Launched June 1, 2023

Ratified:

Austria, Belgium, Bulgaria, Denmark, Estonia, Finland, France, Germany, Italy, Latvia, Lithuania Luxembourg, Malta, the Netherlands, Portugal, Slovenia, Sweden

Expected to Ratify: Cyprus, Czech Republic, Greece, Hungary, Ireland, Romania, Slovakia

EU Members Not Participating : Spain, Poland, Croatia



Coverage

Before, to obtain Protection in GB,
DE, ES, and FR:

GB

DE

Grant

EPO

Application

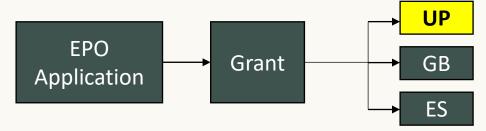
GRA

GB

DE

ES

Now, to obtain the same protection:

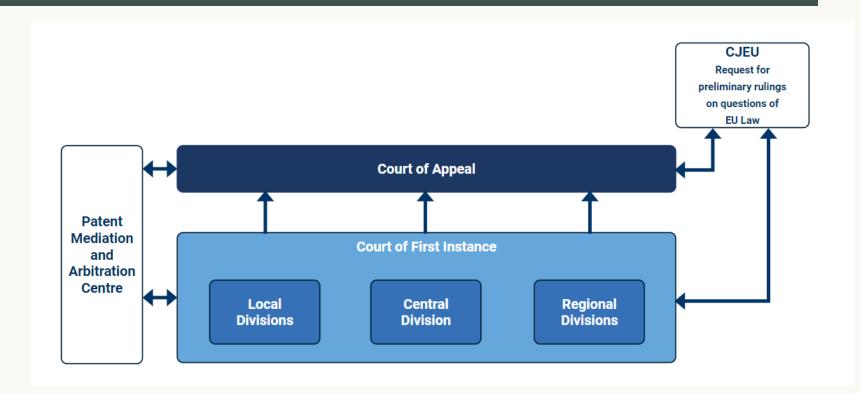


Choose from the following upon grant:

- 1. File National Validations;
- 2. Elect a UP designation covering all UP states; or
- 3. A combination of 1 and 2



Unified Patent Court



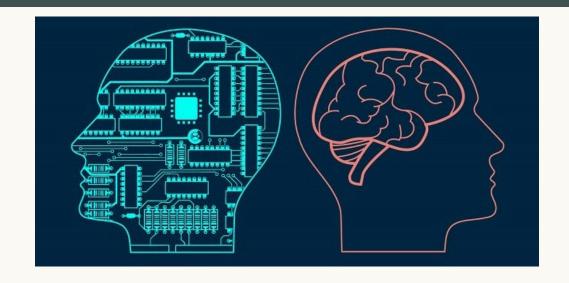
- Local/Regional for infringement
- Central for nullity (invalidation)
- Sizzling Hot Topic: Opt-out?





Al – The Robots are Coming





• Inventorship:

- Determined based on the claims.
- Defined as an individual that contributes to the conception of at least one claim.
 - Someone who helps build/implement the invention may not qualify



- April 2020: USPTO issued decision denying petition to name an AI system as an inventor
 - Inventorship is limited to a natural person(s).
 - Upheld in a decision by U.S. District Court (*Thaler v. Hirshfeld*, 558 F.Supp.3d 238 (E.D. Va. 2021)) and again by the Federal Circuit (*Thaler v. Vidal*, 43 F.4th 1207, 1210 (Fed. Cir. 2022))
 - Based on Supreme Court precedent, an "individual"
 ordinarily means a human being unless Congress provided
 some indication that a different meaning was intended in the
 Patent Act



- Can AI invent (i.e., be a named inventor), or merely help humans invent?
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- Related Example from Copyright Office:
 - Reviewed a registration for a work containing human-authored elements combined with AI-generated images.
 - The Office concluded that a graphic novel comprised of human-authored text combined with images generated by an AI service constituted a copyrightable work, but that the individual images themselves could not be protected by copyright
- AI models are, however, now being prevalently used as part of R&D efforts
 - e.g., drug discovery, chip design
 - Is there a gap in legal/IP coverage for such advancements?



Questions?